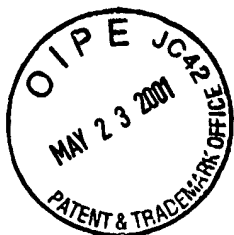


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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Patrick Hearing *et al.*  
Serial No.: 09/782,378  
Filed: 02/12/01  
Entitled: **HYBRID ADENOVIRUS/ADENO-ASSOCIATED VIRUS VECTORS  
AND METHODS OF USE THEREOF**

Group No.:  
Examiner:

## TRANSMITTAL

Assistant Commissioner for Patents  
Washington, D.C. 20231

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Dated: May 17, 2001By: Marilyn Moy

Marilyn Moy

Sir or Madam:

Transmitted herewith are:

1. Sequence Listing on computer readable diskette
2. Certificate Re: Sequence Listing
3. Notice to Comply with Requirements for Patent Applications Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures.

No additional fee is required.

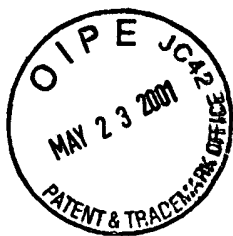
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Dated: 17 May 2001By: Kamrin T. MacKnight

Kamrin T. MacKnight

Registration No. 38,230

MEDLEN & CARROLL, LLP  
220 Montgomery Street, Suite 2200  
San Francisco, California 94104  
(415) 705-8410



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Patrick Hearing *et al.*

Serial No.: not yet assigned

Filed: 2/12/2001

Entitled: **HYBRID ADENOVIRUS/ADENO-  
ASSOCIATED VIRUS VECTORS AND  
METHODS OF USE THEREOF**

Group No.:

Examiner:

**CERTIFICATE RE: SEQUENCE LISTING**

Assistant Commissioner for Patents  
Washington, D.C. 20231

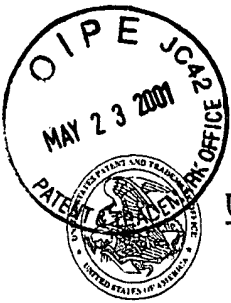
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I hereby state that the enclosed Sequence Listing submitted on a computer-readable diskette is identical to the paper copy submitted on February 12, 2001. The contents of the paper and computer readable copies are the same and include no new matter.

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Kamrin T. MacKnight  
Registration No. 38,230



## UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/782,378	02/12/2001	Patrick Hearing	STONYB-04970

CONFIRMATION NO. 9755

## FORMALITIES LETTER



\*OC000000006055700\*

Peter G. Carroll  
MEDLEN & CARROLL, LLP  
220 Montgomery Street, Suite 2200  
San Francisco, CA 94104

Date Mailed: 05/09/2001

### NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

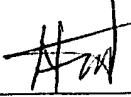
Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

- A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing." Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).

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